

FEC
FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

 RECEIVED
 SECRETARY OF THE SENATE
 PUBLIC RECORDS

12 OCT 15 PM 12:17

Office Use Only

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

Blumenthal for Senate

ADDRESS (number and street)

777 Summer Street

Check if different
than previously
reported. (ACC)

Stamford

CT

06901

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

C C00473587

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

CT

00

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

M M

D D

Y Y Y Y Y

in the
State of

CT

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

M M

D D

Y Y Y Y Y

in the
State of

CT

5. Covering Period

M M / D D / Y Y Y Y Y
07 / 01 / 2012

through

M M / D D / Y Y Y Y Y
09 / 30 / 2012

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Judith Zamore

Signature of Treasurer

Judith Zamore

Date

M M / D D / Y Y Y Y Y
10 / 15 / 2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
OnlyFEC FORM 3
(Revised 02/2003)

SUMMARY PAGE

of Receipts and Disbursements

Write or Type Committee Name

Blumenthal for Senate

Report Covering the Period: From:

M	M	/	D	D	/	Y	Y	Y	Y
0	7		0	1		2	0	1	2

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	2

COLUMN A

This Period

COLUMN B

Election Cycle-to-Date

6. Net Contributions (other than loans)

(a) Total Contributions
(other than loans) (from Line 11(e))

27485.00

381444.99

(b) Total Contribution Refunds
(from Line 20(d))

0.00

6960.30

(c) Net Contributions (other than loans)
(subtract Line 6(b) from Line 6(a))

27485.00

374484.69

7. Net Operating Expenditures

(a) Total Operating Expenditures
(from Line 17)

14118.79

477689.67

(b) Total Offsets to Operating
Expenditures (from Line 14)

0.00

33414.17

(c) Net Operating Expenditures
(subtract Line 7(b) from Line 7(a))

14118.79

444275.50

8. Cash on Hand at Close of
Reporting Period (from Line 27)

65666.71

9. Debts and Obligations Owed TO
the Committee (Itemize all on
Schedule C and/or Schedule D)

0.00

10. Debts and Obligations Owed BY
the Committee (Itemize all on
Schedule C and/or Schedule D)

250000.00

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3 (Revised 12/2003)

PAGE 3 / 23

Write or Type Committee Name

Blumenthal for Senate

Report Covering the Period: From:

MM / DD / YYYY
07 / 01 / 2012

To:

MM / DD / YYYY
09 / 30 / 2012

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than
Political Committees

(i) Itemized (use Schedule A).....

9650.00

171610.30

(ii) Unitemized.....

835.00

4167.98

(iii) TOTAL of contributions
from individuals ▶

10485.00

175778.28

(b) Political Party Committees.....

0.00

0.00

(c) Other Political Committees
(such as PACs).....

17000.00

205666.71

(d) The Candidate.....

0.00

0.00

(e) TOTAL CONTRIBUTIONS

(other than loans)
(add Lines 11(a)(iii), (b), (c), and (d))..

27485.00

381444.99

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.....

1000.00

21330.70

13. LOANS:

(a) Made or Guaranteed by the
Candidate.....

0.00

0.00

(b) All Other Loans.....

0.00

0.00

(c) TOTAL LOANS
(add Lines 13(a) and (b)).....

0.00

0.00

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.).....

0.00

33414.17

15. OTHER RECEIPTS (Dividends, Interest, etc.).....

0.00

189.51

16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)..... ▶

28485.00

436379.37

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3 (Revised 02/2003)

PAGE 4 / 23

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES.....	14118.79	477689.67
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES	7325.00	52319.92
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate.....	0.00	0.00
(b) Of All Other Loans	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b)).....	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees	0.00	6960.30
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c)).....	0.00	6960.30
21. OTHER DISBURSEMENTS	0.00	2291.20
22. TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21) ►	21443.79	539261.09

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....	58625.50
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....	28485.00
25. SUBTOTAL (add Line 23 and Line 24).....	87110.50
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....	21443.79
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25).....	65666.71

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 5 OF 23
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
12 13a 13b 14 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

James A. Calcagnini

Mailing Address 36 Plymouth Road

City

Darien

State

CT

Zip Code

06820

FEC ID number of contributing
federal political committee.

C

Name of Employer

East Coast Option Services Inc.

Occupation

Commodities Broker

Receipt For: 2016



Primary



General



Other (specify)

Election Cycle-to-Date

250.00

Date of Receipt

MM / DD / YYYY
08 / 28 / 2012

Transaction ID : C5514430

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

Charles F. Dolan

Mailing Address 340 Crossways Park Drive

City

Woodbury

State

NY

Zip Code

11797

FEC ID number of contributing
federal political committee.

C

Name of Employer

Cablevision Systems Corp.

Occupation

Chairman

Receipt For: 2016



Primary



General



Other (specify)

Election Cycle-to-Date

2500.00

Date of Receipt

MM / DD / YYYY
07 / 25 / 2012

Transaction ID : C5332537

Amount of Each Receipt this Period

2500.00

Full Name (Last, First, Middle Initial)

Jeanne Fredericks

Mailing Address 221 Benedict Hill Rd

City

New Canaan

State

CT

Zip Code

06840-2913

FEC ID number of contributing
federal political committee.

C

Name of Employer

Jeanne Fredericks Literary Agency, Inc

Occupation

Literary Agent

Receipt For: 2010



Primary



General



Other (specify)

Debt General

Election Cycle-to-Date

2400.00

Date of Receipt

MM / DD / YYYY
09 / 20 / 2012

Transaction ID : C5532453

Amount of Each Receipt this Period

2400.00

2010 General Debt

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

5150.00

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 23
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
12 13a 13b 14 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

Wesley C. Fredericks Jr.

Mailing Address 221 Benedict Hill Road

City State Zip Code
New Canaan CT 06840

FEC ID number of contributing
federal political committee.

C

Name of Employer
Jenner & Block

Occupation
Partner

Receipt For: 2016

☒ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

1000.00

Date of Receipt

MM / DD / YYYY
09 / 20 / 2012

Transaction ID : C5532442

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

Peter Gummo

Mailing Address 70 Waterway

City State Zip Code
Saunderstown RI 02874

FEC ID number of contributing
federal political committee.

C

Name of Employer
TPR2 Corporation

Occupation
CEO

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

1000.00

Date of Receipt

MM / DD / YYYY
09 / 20 / 2012

Transaction ID : C5532460

Amount of Each Receipt this Period

1000.00

2010 General Debt

Full Name (Last, First, Middle Initial)

Michael F. Hussey

Mailing Address 3263 Van Hazen Street, NW

City State Zip Code
Washington DC 20015

FEC ID number of contributing
federal political committee.

C

Name of Employer
Quinn Gillespie & Associates LLC

Occupation
Principal

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

1000.00

Date of Receipt

MM / DD / YYYY
09 / 28 / 2012

Transaction ID : C5548059

Amount of Each Receipt this Period

1000.00

2010 General Debt

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

3000.00

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 23
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

<p>Full Name (Last, First, Middle Initial) A. Ellen Rothberg</p> <p>Mailing Address 7 Fairlee Road</p> <p>City State Zip Code West Hartford CT 06107</p> <p>FEC ID number of contributing federal political committee. <input type="text" value="C"/></p> <p>Name of Employer Occupation VNA Health Care President/CEO</p> <p>Receipt For: 2010 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) Debt General </p> <p>Election Cycle-to-Date <input type="text" value="500.00"/> </p>		<p>Date of Receipt <input type="text" value="09"/> / <input type="text" value="27"/> / <input type="text" value="2012"/> </p> <p>Transaction ID : C5533792</p> <p>Amount of Each Receipt this Period <input type="text" value="500.00"/> </p> <p>2010 General Debt</p>
<p>Full Name (Last, First, Middle Initial) B. Jonathan P. Wendell</p> <p>Mailing Address 22 Turner Dr</p> <p>City State Zip Code Greenwich CT 06831-4415</p> <p>FEC ID number of contributing federal political committee. <input type="text" value="C"/></p> <p>Name of Employer Occupation Nassau Point Investors Private Equity</p> <p>Receipt For: 2010 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) Debt General </p> <p>Election Cycle-to-Date <input type="text" value="2000.00"/> </p>		<p>Date of Receipt <input type="text" value="09"/> / <input type="text" value="21"/> / <input type="text" value="2012"/> </p> <p>Transaction ID : C5532470</p> <p>Amount of Each Receipt this Period <input type="text" value="1000.00"/> </p> <p>2010 General Debt</p>
<p>Full Name (Last, First, Middle Initial) C.</p> <p>Mailing Address</p> <p>City State Zip Code</p> <p>FEC ID number of contributing federal political committee. <input type="text" value="C"/></p> <p>Name of Employer Occupation</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) </p> <p>Election Cycle-to-Date <input type="text"/> </p>		<p>Date of Receipt <input type="text"/> / <input type="text"/> / <input type="text"/> </p> <p>Amount of Each Receipt this Period <input type="text"/> </p>
<p>SUBTOTAL of Receipts This Page (optional).....</p> <p>TOTAL This Period (last page this line number only).....</p>		<p><input type="text" value="1500.00"/></p> <p><input type="text" value="9650.00"/></p>

12020734762

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 23
(check only one)
☐ 11a ☐ 11b ☒ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)
A. American Council of Life Insurers PAC

Mailing Address 101 Constitution Ave NW
Ste 700

City Washington State DC Zip Code 20001-2133

FEC ID number of contributing federal political committee.

C C00147066

Name of Employer

Occupation

Receipt For: 2010

☐ Primary ☒ General
☐ Other (specify)

Election Cycle-to-Date

2000.00

Date of Receipt

MM / DD / YYYY
07 / 27 / 2012

Transaction ID : C5332542

Amount of Each Receipt this Period

2000.00

Full Name (Last, First, Middle Initial)
B. Arnold & Porter Partners Political Action Committee

Mailing Address 555 12th St NW

City Washington State DC Zip Code 20004-1200

FEC ID number of contributing federal political committee.

C C00216895

Name of Employer

Occupation

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

1000.00

Date of Receipt

MM / DD / YYYY
07 / 02 / 2012

Transaction ID : C5195075

Amount of Each Receipt this Period

1000.00

2010 General Debt

Full Name (Last, First, Middle Initial)
C. Federation of American Hospitals PAC

Mailing Address 750 9th St NW
Ste 600

City Washington State DC Zip Code 20001-4595

FEC ID number of contributing federal political committee.

C C00002261

Name of Employer

Occupation

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

5000.00

Date of Receipt

MM / DD / YYYY
09 / 27 / 2012

Transaction ID : C5548067

Amount of Each Receipt this Period

3000.00

2010 General Debt

SUBTOTAL of Receipts This Page (optional)

6000.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 9 OF 23	
(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
		<input type="checkbox"/> 15	

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)
FUELCELL ENERGY PAC

A. Mailing Address **3 GREAT PASTURE RD.**

City State Zip Code
DANBURY CT 06813

FEC ID number of contributing federal political committee. **C C00204180**

Name of Employer Occupation

Receipt For: 2016
☒ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date
2500.00

Date of Receipt

09 / 20 / 2012

Transaction ID : **C5532485**

Amount of Each Receipt this Period

2500.00

B. Full Name (Last, First, Middle Initial)
LOWE'S COMPANIES, INC. POLITICAL ACTION COMMITTEE

Mailing Address **1000 LOWE'S BOULEVARD**

City State Zip Code
MOORESVILLE NC 28117

FEC ID number of contributing federal political committee. **C C00251751**

Name of Employer Occupation

Receipt For: 2010
☐ Primary ☐ General
☒ Other (specify) **Debt General**

Election Cycle-to-Date
2500.00

Date of Receipt

09 / 20 / 2012

Transaction ID : **C5532451**

Amount of Each Receipt this Period

2500.00

2010 General Debt

C. Full Name (Last, First, Middle Initial)
Metlife, Inc Employees' Political Participation Fund A

Mailing Address **1095 Avenue of the Americas**

City State Zip Code
New York NY 10036-6797

FEC ID number of contributing federal political committee. **C C00493551**

Name of Employer Occupation

Receipt For: 2010
☐ Primary ☐ General
☒ Other (specify) **Debt General**

Election Cycle-to-Date
1000.00

Date of Receipt

09 / 27 / 2012

Transaction ID : **C5548071**

Amount of Each Receipt this Period

1000.00

2010 General Debt

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

6000.00

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 10 OF 23

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14	<input type="checkbox"/> 15
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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

National Active and Retired Federal Employees Asso

Mailing Address 606 North Washington Street

City Alexandria State VA Zip Code 22314-1914

FEC ID number of contributing federal political committee. **C** C00091561

Name of Employer Occupation

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

2000.00

Date of Receipt

MM / DD / YYYY
07 / 02 / 2012

Transaction ID : C5195077

Amount of Each Receipt this Period

2000.00

2010 General Debt

Full Name (Last, First, Middle Initial)

NATIONAL FRATERNAL ORDER OF POLICE PAC

Mailing Address 328 MASSACHUSETTS AVENUE NE

City WASHINGTON State DC Zip Code 20002

FEC ID number of contributing federal political committee. **C** C00382556

Name of Employer Occupation

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

2000.00

Date of Receipt

MM / DD / YYYY
08 / 07 / 2012

Transaction ID : C5363883

Amount of Each Receipt this Period

1000.00

2010 General Debt

Full Name (Last, First, Middle Initial)

UBS Americas Inc. Political Action Committee

Mailing Address 400 Atlantic St

City Stamford State CT Zip Code 06901-3512

FEC ID number of contributing federal political committee. **C** C00012245

Name of Employer Occupation

Receipt For: 2010

☐ Primary ☒ General
☐ Other (specify)

Election Cycle-to-Date

5000.00

Date of Receipt

MM / DD / YYYY
08 / 13 / 2012

Transaction ID : C5363882

Amount of Each Receipt this Period

2000.00

2010 General Debt

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

5000.00

17000.00

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 23

☐ 11a ☐ 11b ☐ 11c ☐ 11d
☒ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

Blumenthal for Connecticut

Mailing Address 777 Summer St

City

Stamford

State

CT

Zip Code

06901-1022

FEC ID number of contributing
federal political committee.

C C00492991

Name of Employer

Occupation

Receipt For: 2010

☐ Primary ☒ General
☐ Other (specify)

Election Cycle-to-Date

14393.20

Date of Receipt

MM / DD / YYYY
07 / 16 / 2012

Transaction ID : C5301542

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

George Spicer

Mailing Address 5105 Nahant St

City

Bethesda

State

MD

Zip Code

20816-2335

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Not Employed

Not Employed

Receipt For: 2010

☐ Primary ☐ General
☒ Other (specify) Debt General

Election Cycle-to-Date

1000.00

Date of Receipt

MM / DD / YYYY
07 / 16 / 2012

Transaction ID : C5301545

Amount of Each Receipt this Period

1000.00

[MEMO ITEM]

* 2010 General Debt

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Election Cycle-to-Date

Date of Receipt

MM / DD / YYYY

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

1000.00

1000.00

SCHEDULE B (FEC Form 3) ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Administrator Unemployment Compensation State of Connecticut Department of Labor

Date of Disbursement

MM / DD / YYYY
08 / 21 / 2012

Mailing Address PO Box 2905

Amount of Each Disbursement this Period

215.31

City State Zip Code
Hartford CT 06104-2905

Purpose of Disbursement
Unemployment Insurance

Category/
Type

Candidate Name

Transaction ID : D292442

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

B. Authorize.Net

Date of Disbursement

MM / DD / YYYY
07 / 03 / 2012

Mailing Address 808 East Utah Valley Drive

Amount of Each Disbursement this Period

27.95

City State Zip Code
American Fork UT 84003

Purpose of Disbursement
Credit Card Processing Fee

Category/
Type

Candidate Name

Transaction ID : D290042

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

C. Authorize.Net

Date of Disbursement

MM / DD / YYYY
09 / 05 / 2012

Mailing Address 808 East Utah Valley Drive

Amount of Each Disbursement this Period

28.30

City State Zip Code
American Fork UT 84003

Purpose of Disbursement
Credit Card Processing Fee

Category/
Type

Candidate Name

Transaction ID : D295083

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

271.56

12020734767

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Authorize.Net

Mailing Address 808 East Utah Valley Drive

City American Fork State UT Zip Code 84003

Purpose of Disbursement
Credit Card Processing Fee

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
08 / 02 / 2012

Amount of Each Disbursement this Period

28.70

Transaction ID : D295084

Category/
Type

Full Name (Last, First, Middle Initial)

B. Campaign Finance Consultants

Mailing Address 10 G St NE
Ste 570

City Washington State DC Zip Code 20002-4268

Purpose of Disbursement
Fundraising Services for Debt

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
08 / 20 / 2012

Amount of Each Disbursement this Period

3566.50

Transaction ID : D292437

Category/
Type

Full Name (Last, First, Middle Initial)

C. Campaign Finance Consultants

Mailing Address 10 G St NE
Ste 570

City Washington State DC Zip Code 20002-4268

Purpose of Disbursement
Fundraising Services for Debt

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 12 / 2012

Amount of Each Disbursement this Period

1500.00

Transaction ID : D293043

Category/
Type

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

5095.20

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Campaign Finance Consultants

Mailing Address 10 G St NE
Ste 570

City Washington State DC Zip Code 20002-4268

Purpose of Disbursement
Fundraising Services for Debt

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
07 / 06 / 2012

Amount of Each Disbursement this Period

2610.12

Transaction ID : D286951

B. Capitol Compliance Associates, Inc.

Mailing Address 709A 8th St SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Compliance & Accounting Services

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
08 / 20 / 2012

Amount of Each Disbursement this Period

1000.00

Transaction ID : D292436

C. Capitol Compliance Associates, Inc.

Mailing Address 709A 8th St SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Compliance & Accounting Services

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 04 / 2012

Amount of Each Disbursement this Period

1000.00

Transaction ID : D292445

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

4610.12

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 15 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)

Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Moneris

Date of Disbursement

MM / DD / YYYY
07 / 03 / 2012

Mailing Address 150 North Matingale Road
Suite 900

City State Zip Code
Schaumburg IL 60173

Purpose of Disbursement
Credit Card Processing Fee

Amount of Each Disbursement this Period

54.80

Candidate Name

Category/
Type

Transaction ID : D290043

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

B. Moneris

Date of Disbursement

MM / DD / YYYY
08 / 03 / 2012

Mailing Address 150 North Matingale Road
Suite 900

City State Zip Code
Schaumburg IL 60173

Purpose of Disbursement
Credit Card Processing Fee

Amount of Each Disbursement this Period

54.00

Candidate Name

Category/
Type

Transaction ID : D295086

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

c. Moneris

Date of Disbursement

MM / DD / YYYY
09 / 05 / 2012

Mailing Address 150 North Matingale Road
Suite 900

City State Zip Code
Schaumburg IL 60173

Purpose of Disbursement
Credit Card Processing Fee

Amount of Each Disbursement this Period

52.32

Candidate Name

Category/
Type

Transaction ID : D295087

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

161.12

SCHEDULE B (FEC Form 3) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 16 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. NGP Software, Inc.

Mailing Address 1225 I Street, NW
Suite 1225

City Washington State DC Zip Code 20005-5918

Purpose of Disbursement
Software

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 20 / 2012

Amount of Each Disbursement this Period

950.00

Transaction ID : D295173

Category/
Type

Full Name (Last, First, Middle Initial)

B. PayUSA

Mailing Address 680 American Avenue
Suite 103

City King of Prussia State PA Zip Code 19406

Purpose of Disbursement
Payroll

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
07 / 18 / 2012

Amount of Each Disbursement this Period

971.20

Transaction ID : D292428

Category/
Type

Full Name (Last, First, Middle Initial)

c. Jenny Reinoso Castellano

Mailing Address 142 Oaklawn Ave

City Stamford State CT Zip Code 06905

Purpose of Disbursement
Salary

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
07 / 18 / 2012

Amount of Each Disbursement this Period

692.72

Transaction ID : D292431

[MEMO ITEM]

Category/
Type

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

1921.20

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 17 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll Taxes

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM / DD / YYYY
07 / 18 / 2012

Amount of Each Disbursement this Period

227.68

Transaction ID : D292429

[MEMO ITEM]

B. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll Services

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM / DD / YYYY
07 / 18 / 2012

Amount of Each Disbursement this Period

50.80

Transaction ID : D292430

[MEMO ITEM]

C. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
08 / 17 / 2012

Amount of Each Disbursement this Period

970.00

Transaction ID : D292458

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

970.00

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 18 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Jenny Reinoso Castellano

Mailing Address 142 Oaklawn Ave

City State Zip Code
Stamford CT 06905

Purpose of Disbursement
Salary

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
08 / 17 / 2012

Amount of Each Disbursement this Period

692.72

Transaction ID : D292461

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

B. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll Taxes

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
08 / 17 / 2012

Amount of Each Disbursement this Period

226.48

Transaction ID : D292459

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

c. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll Services

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
08 / 17 / 2012

Amount of Each Disbursement this Period

50.80

Transaction ID : D292460

[MEMO ITEM]

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

0.00

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 19 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2012

Amount of Each Disbursement this Period

966.54

Transaction ID : D293038

Category/
Type

Full Name (Last, First, Middle Initial)

B. Jenny Reinoso Castellano

Mailing Address 142 Oaklawn Ave

City State Zip Code
Stamford CT 06905

Purpose of Disbursement
Salary

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2012

Amount of Each Disbursement this Period

692.72

Transaction ID : D293041

[MEMO ITEM]

Category/
Type

Full Name (Last, First, Middle Initial)

C. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll Taxes

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2012

Amount of Each Disbursement this Period

222.88

Transaction ID : D293039

[MEMO ITEM]

Category/
Type

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

966.54

12020734774

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 20 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)

Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. PayUSA

Mailing Address 680 American Avenue
Suite 103

City State Zip Code
King of Prussia PA 19406

Purpose of Disbursement
Payroll Services

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2012

Amount of Each Disbursement this Period

50.94

Transaction ID : D293040

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

B. Hudson Valley Bank

Mailing Address 21 Scarsdale Road

City State Zip Code
Tuckahoe NY 10707

Purpose of Disbursement
Credit Card Payment

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 13 / 2012

Amount of Each Disbursement this Period

82.70

Transaction ID : D295002

Full Name (Last, First, Middle Initial)

C. Intuit

Mailing Address 2632 Marine Way

City State Zip Code
Mountain View CA 94043

Purpose of Disbursement
Software

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: 2010
☐ Primary ☒ General
☐ Other (specify)

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 13 / 2012

Amount of Each Disbursement this Period

80.70

Transaction ID : D295004

[MEMO ITEM]

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

82.70

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 21 OF 23

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Hudson Valley Bank

Mailing Address 21 Scarsdale Road

City State Zip Code
Tuckahoe NY 10707

Purpose of Disbursement
Credit Card Payment

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
09 / 20 / 2012

Amount of Each Disbursement this Period

40.35

Transaction ID : D295171

Full Name (Last, First, Middle Initial)

B. Intuit

Mailing Address 2632 Marine Way

City State Zip Code
Mountain View CA 94043

Purpose of Disbursement
Software

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: 2010 ☐ Primary ☒ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY
09 / 20 / 2012

Amount of Each Disbursement this Period

40.35

Transaction ID : D295172

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
State: District:

Date of Disbursement

MM / DD / YYYY

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

40.35

14118.79

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 22 OF 23

☐ 17 ☒ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)

Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Blumenthal for Connecticut

Mailing Address 777 Summer St

City State Zip Code
Stamford CT 06901-1022

Purpose of Disbursement
Transfer 2016 Funds to Blumenthal for Connecticut

Candidate Name
RICHARD BLUMENTHAL

Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President
Disbursement For: 2016 ☒ Primary ☐ General
☐ Other (specify)
State: CT District: 00

Date of Disbursement

MM / DD / YYYY
09 / 28 / 2012

Amount of Each Disbursement this Period

4565.00

Transaction ID : D293654

B. Blumenthal for Connecticut

Full Name (Last, First, Middle Initial)

Mailing Address 777 Summer St

City State Zip Code
Stamford CT 06901-1022

Purpose of Disbursement
Transfer 2016 Funds to Blumenthal for Connecticut

Candidate Name
RICHARD BLUMENTHAL

Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President
Disbursement For: 2016 ☒ Primary ☐ General
☐ Other (specify)
State: CT District: 00

Date of Disbursement

MM / DD / YYYY
08 / 23 / 2012

Amount of Each Disbursement this Period

2500.00

Transaction ID : D292373

C. Blumenthal for Connecticut

Full Name (Last, First, Middle Initial)

Mailing Address 777 Summer St

City State Zip Code
Stamford CT 06901-1022

Purpose of Disbursement
Transfer 2016 Funds to Blumenthal for Connecticut

Candidate Name
RICHARD BLUMENTHAL

Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President
Disbursement For: 2016 ☒ Primary ☐ General
☐ Other (specify)
State: CT District: 00

Date of Disbursement

MM / DD / YYYY
07 / 16 / 2012

Amount of Each Disbursement this Period

260.00

Transaction ID : D287236

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

7325.00

7325.00

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 23 OF 23

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Transaction ID : L358

LOAN SOURCE Full Name (Last, First, Middle Initial)

[PERSONAL FUNDS]

Election: 2010

Hon. Richard Blumenthal PERS FUNDS

☐ Primary

☒ General

☐ Other (specify) ▼

Mailing Address

145 Clapboard Ridge Road

City

State

ZIP Code

Greenwich

CT

06831

Original Amount of Loan

500000.00

Cumulative Payment To Date

250000.00

Balance Outstanding at Close of This Period

250000.00

TERMS

Date Incurred

M 09 / D 30 / Y 2010

Date Due

M / D / Y

09/30/2012

Interest Rate

0.00

% (apr)

Secured:

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount

Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount

Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount

Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount

Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ▶

250000.00

TOTALS This Period (last page in this line only)..... ▶

250000.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED 10-15-12
Date of Receipt

USPS FIRST CLASS MAIL _____
Postmark

USPS REGISTERED/CERTIFIED _____
Postmark

USPS PRIORITY MAIL _____
Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL ☐

USPS EXPRESS MAIL _____
Postmark

OVERNIGHT DELIVERY SERVICE:

	SHIPPING DATE	NEXT BUSINESS DAY DELIVERY
FEDERAL EXPRESS	_____	<input type="checkbox"/>
UPS	_____	<input type="checkbox"/>
DHL	_____	<input type="checkbox"/>
AIRBORNE EXPRESS	_____	<input type="checkbox"/>

RECEIVED FROM FEDERAL ELECTION COMMISSION _____
Date of Receipt

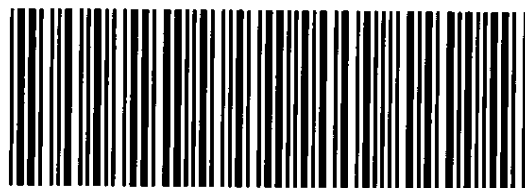
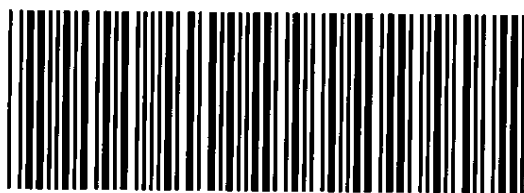
POSTMARK ILLEGIBLE ☐ NO POSTMARK ☐

FAX _____
Date of Receipt

OTHER _____
Date of Receipt or Postmark

PREPARER RD DATE PREPARED 10-15-12

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